I. THE LEGAL REQUIREMENT FOR COMPLIANCE
   • Informed Compliance
   • Shared Responsibility
   • Reasonable Care

II. THE TYPES OF CBP AUDITS AND ENFORCEMENT
   • Transactional enforcement from the ports and CEEs
   • Regulatory Audit
     1. Referral audits
     2. Supply chain security reviews
     3. Audit questionnaires
     4. Focused Assessment
     5. Other techniques (e.g. 2016-2017 threatening informed compliance letters.)
   • NEW!!! AD/CVD evasion investigations
   • Customs Enforcement.

III. RESPONDING TO CBP AUDIT INQUIRIES WITH A FOCUS ON THE FA
   • Are you under investigation?
   • Building your audit compliance team
   • Responding to questionnaires
   • Understanding the audit process and techniques

IV. LONG BEFORE THE AUDIT: PREPARING YOUR COMPANY FOR REGULATORY ENFORCEMENT. PRACTICAL TECHNIQUES AND SOLUTIONS
   • The five elements of internal control
     1. Control environment
     2. Risk Assessment
     3. Control Activities
     4. Information and communication
     5. Monitoring
   • Applying the elements of internal control to your business
     1. Internal organization
     2. Policies and procedures
     3. Record keeping techniques
     4. Managing the tactical - Value, HTS, C/O, duty. (A review of basic importing)
     5. Training
     6. Controlling business partners – vendors, forwarders, brokers, carriers
     7. Building an internal compliance team
     8. Building an external compliance team – Brokers, Consultants, Attorneys, Trade Organizations
     9. Measuring your success

V. TRUSTED TRADER/ISA

VI. POLICY AND PROCEDURE MANUAL